



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov



Bib Data Sheet

CONFIRMATION NO. 5137

SERIAL NUMBER 10/044,519	FILING OR 371(c) DATE 01/11/2002 RULE	CLASS 705	GROUP ART UNIT 3691	ATTORNEY DOCKET NO. 630768600024
APPLICANTS Kenneth C. Griffin, Chicago, IL; <i>MZ</i>				
** CONTINUING DATA ***** This appln claims benefit of 60/261,277 01/12/2001 <i>MZ</i>				
** FOREIGN APPLICATIONS ***** <i>NONE MZ</i>				
IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** 02/07/2002				
Foreign Priority claimed <input type="checkbox"/> yes <input checked="" type="checkbox"/> no 35 USC 119 (a-d) conditions <input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> Met after met <i>Allowance</i> Verified and <i>Michael R. Zick</i> Acknowledged <i>MZ</i> Examiner's Signature Initials		STATE OR COUNTRY IL	SHEETS DRAWING 5	TOTAL CLAIMS 14
				INDEPENDENT CLAIMS 5
ADDRESS 28104				
TITLE Method and apparatus for maintaining a uniform net asset value per share or unit				
FILING FEE RECEIVED 908	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit	